

# A double Landau–de Gennes mathematical model of smectic A liquid crystals

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# Outline

Introduction

Modeling of smectic A LC

Numerical results

Conclusions and future work

# Outline

Introduction

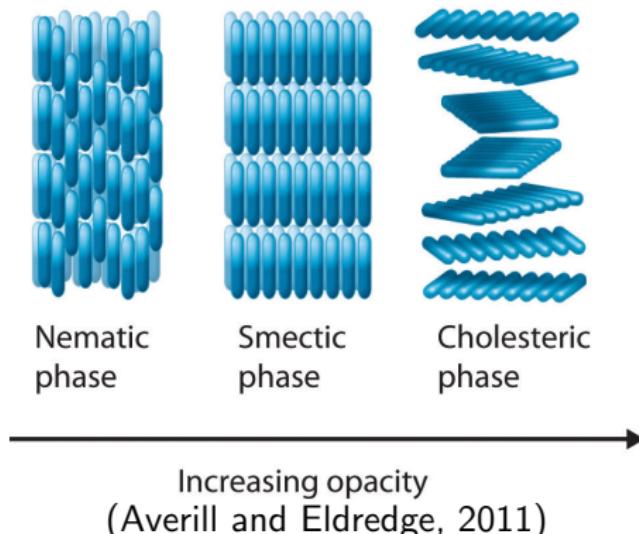
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# What are liquid crystals?

- ▶ Liquid crystals (LC) are an **intermediate** state of matter between isotropic liquid and solid crystals.
- ▶ LC flow like liquids, but the constituent molecules retain **orientational order**.
- ▶ LC are of many different types, the main related classes being **nematic**, **smectic** and **cholesteric**.



# Three continuum models for nematic LC

	Oseen–Frank (OF)	Ericksen	Landau–de Gennes (LdG)
variables	vector $n$	scalar $s$ vector $n$	tensor $Q$
constraints	$ n  = 1$	$ n  = 1$	$Q = Q^T$ $\text{tr}(Q) = 0$
energy density	$W_{of}(n)$	$W_e(s, n)$	$W_{ldg}(Q)$

Examples of energy density:

$$2W_{of}(n) = K_1|\nabla \cdot n|^2 + K_2|n \cdot \nabla \times n|^2 + K_3|n \times (\nabla \times n)|^2,$$

$$2W_e(s, n) = -\frac{s^2}{2} - \frac{s^3}{3} + \frac{s^4}{4} + 2s^2 W_{of}(n) + K_5|\nabla s|^2 + K_6|\nabla s \cdot n|^2,$$

$$W_{ldg}(Q) = \frac{K}{2}|\nabla Q|^2 + \frac{a}{2}\text{tr}(Q^2) - \frac{b}{3}\text{tr}(Q^3) + \frac{c}{4}(\text{tr}(Q^2))^2.$$

## $Q$ tensor

### Degrees of freedom of tensor $Q$

Symmetric and traceless  $Q \iff \begin{cases} (q_1, q_2) & \text{in 2D} \\ (q_1, q_2, q_3, q_4, q_5) & \text{in 3D.} \end{cases}$

$$Q = \begin{bmatrix} q_1 & q_2 \\ q_2 & -q_1 \end{bmatrix} \quad \text{or} \quad Q = \begin{bmatrix} q_1 & q_3 & q_4 \\ q_3 & q_2 & q_5 \\ q_4 & q_5 & -(q_1 + q_2) \end{bmatrix}.$$

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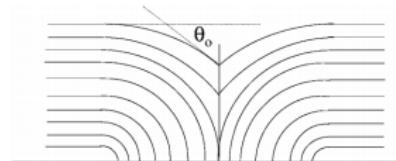
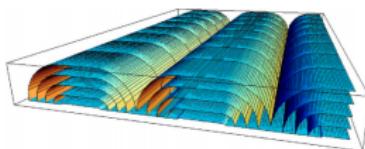
### Uniaxial $Q$

One preferred alignment direction of LC molecules:

$$Q = s \left( n \otimes n - \frac{I_d}{d} \right), \quad d \in \{2, 3\}.$$

## Two typical defects in smectic A

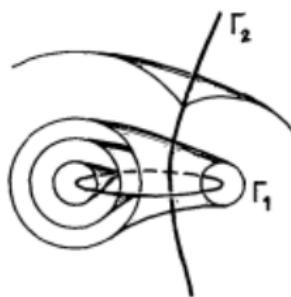
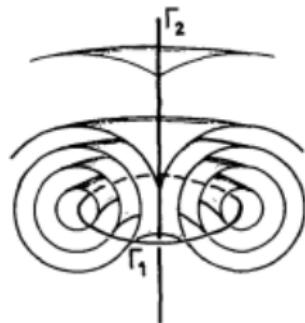
### Oily streaks (OS)



(Michel et al., 2004)

Left: flattened hemi-cylinders; right: defect wall.

### Focal Conic Domains (FCD)



(Williams and Kléman, 1975)

Left: Toroidal Focal Conic Domains (TFCD); right: FCD.

# This work

## A new model for smectic A LC

Smectic order parameter is characterized by *real-valued*  $u$ .

Nematic order parameter is characterized by *tensor*  $Q$ .

## Novel applications

Two typical smectic A defects, OS and (T)FCD, are captured numerically for the first time.

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## de Gennes model

Smectic order parameter  $\psi : \Omega \rightarrow \mathbb{C}$ .

Nematic order parameter  $n : \Omega \rightarrow \mathbb{R}^d$ ,  $|n| = 1$ .

### de Gennes model (Gennes, 1972)

$$\begin{aligned} \min \quad & J(n, \psi) = \int_{\Omega} (F_S(n, \psi) + W_{of}(n)), \\ \text{subject to} \quad & |n| = 1 \text{ a.e. in } \Omega, \end{aligned}$$

where  $F_S(n, \psi) = |\nabla \psi - iq n \psi|^2 + r|\psi|^2 + \frac{g}{2}|\psi|^4$  with  $i = \sqrt{-1}$ ,  
 $q > 0$ ,  $r = r_0(T - T_{ns}) < 0$ ,  $g > 0$ .

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 $q > 0$ ,  $r = r_0(T - T_{ns}) < 0$ ,  $g > 0$ .

## Issues

- ▶  $\text{Im}(\psi)$  does not relate to anything physical;
- ▶ this description is based on *coarse-grained* length, and hence cannot model dislocations in smectic layers.

## Pevnyi–Selinger–Sluckin (PSS) model

Smectic order parameter  $\delta\rho : \Omega \rightarrow \mathbb{R}$ .

Nematic order parameter  $n : \Omega \rightarrow \mathbb{R}^d$ ,  $|n| = 1$ .

### PSS model (Pevnyi, Selinger, and Sluckin, 2014)

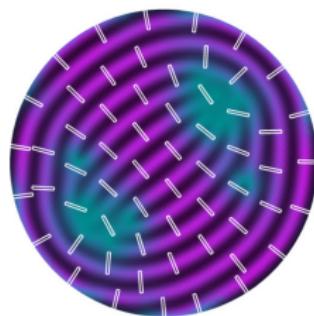
$$\min \quad J(\delta\rho, n) = \int_{\Omega} \left( \frac{a}{2}(\delta\rho)^2 + \frac{b}{3}(\delta\rho)^3 + \frac{c}{4}(\delta\rho)^4 + B \left| \mathcal{D}^2 \delta\rho + q^2 (n \otimes n) \delta\rho \right|^2 + \frac{K}{2} |\nabla n|^2 \right),$$

subject to  $|n| = 1$  a.e. in  $\Omega$ ,

where  $a < 0$  and  $c, B, q, K > 0$ .

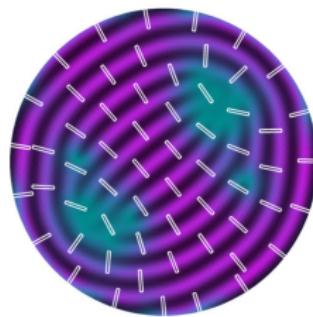
## PSS model

An example of  $+\frac{1}{2}$  defects illustrated in (Pevnyi, Selinger, and Sluckin, 2014):



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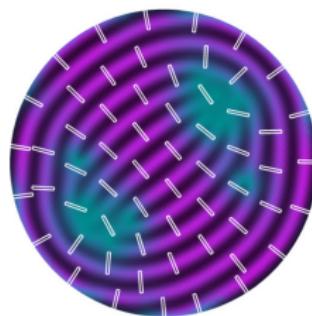


Hang on . . .

You can't represent half charge defects by a unit vector field  $n$ ?

## PSS model

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Hang on . . .

You can't represent half charge defects by a unit vector field  $n$ ?

In fact . . .

They use  $\text{tensor } n \otimes n$  instead in their implementations.

## Ball–Bedford (BB) model

### BB model (Ball and Bedford, 2015)

Directly replacing  $n \otimes n$  by  $Q/s + I_3/3$  in the PSS model:

$$\begin{aligned} \min \quad I(u, Q) = & \int_{\Omega} \left( \frac{1}{2} |\nabla Q|^2 + K \left| \mathcal{D}^2 u + \frac{q^2}{3s} (3Q + sI_3) u \right|^2 \right. \\ & \left. + \frac{a}{2} u^2 + \frac{b}{3} u^3 + \frac{c}{4} u^4 \right), \end{aligned}$$

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## Issues

- ▶ Choice of the scalar order parameter  $s$ ?
- ▶ Numerical singularities caused by  $s \approx 0$ .
- ▶ No provided implementations.

## Our proposed model (double LdG)

Smectic order parameter  $u : \Omega \rightarrow \mathbb{R}$ .

Nematic order parameter  $Q : \Omega \rightarrow \mathbb{R}^{d \times d}$ , symmetric and traceless.

### Double LdG model (a unified framework)

$$\begin{aligned} \min \quad \mathcal{J}(u, Q) = & \int_{\Omega} \left( \frac{a}{2} u^2 + \frac{b}{3} u^3 + \frac{c}{4} u^4 \right. \\ & + B \left| \mathcal{D}^2 u + q^2 \left( Q + \frac{I_d}{d} \right) u \right|^2 \\ & \left. + \frac{K}{2} |\nabla Q|^2 + f_n^b(Q) \right), \end{aligned} \quad (1)$$

where the nematic bulk term is defined as

$$f_n^b(Q) := \begin{cases} \left( -l (\text{tr}(Q^2)) + l (\text{tr}(Q^2))^2 \right), & \text{if } d = 2, \\ \left( -\frac{l}{2} (\text{tr}(Q^2)) - \frac{l}{3} (\text{tr}(Q^3)) + \frac{l}{2} (\text{tr}(Q^2))^2 \right), & \text{if } d = 3. \end{cases}$$

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$f_n^b(Q)$  is chosen so that its global minimizer is a uniaxial tensor  $Q$  with  $s = 1$ . (Majumdar and Zarnescu, 2010, Proposition 15)

# Existence of minimizers

Define the admissible set

$$\mathcal{A} = \left\{ u \in H^2(\Omega, \mathbb{R}), Q \in H^1(\Omega, S_0) : \begin{array}{l} Q = s \left( n \otimes n - \frac{I_d}{d} \right) \text{ for some } s \in [0, 1] \text{ and } n \in H^1(\Omega, \mathcal{S}^{d-1}), \\ Q = Q_b \text{ on } \partial\Omega \end{array} \right\},$$

with Dirichlet boundary data  $Q_b \in H^{1/2}(\partial\Omega, S_0)$ .

## Theorem (existence of minimizers)

Let  $\mathcal{J}$  be of the form (1) with positive parameters  $c, B, q, K, I$ . Then there exists a pair  $(u^*, Q^*)$  that minimizes  $\mathcal{J}$  over the admissible set  $\mathcal{A}$ .

*Proof:* by the direct method of calculus of variations.

(Davis and Gartland, 1998, Theorem 4.3) & (Bedford, 2014, Theorem 5.19)

# Finite element approximations

Essentially...

we are solving a *second order* PDE and *fourth order* PDE, coupled together.

- ▶ For the second order PDE  $\Leftarrow$  common continuous Lagrange elements ✓
- ▶ For the fourth order PDE  $\Leftarrow$  a practical choice of finite elements?

Use continuous Lagrange elements for  $u \in H^2$

By adding the following penalty term in the total energy:

$$\frac{\gamma}{2h^3} \left( \sum_{e_{ij} \in \mathcal{E}_I} \int_{e_{ij}} ([\![\nabla u]\!])^2 \right).$$

(Engel et al., 2002; Brenner and Sung, 2005)

## Convergence tests via MMS

Exact solutions:

$$q_1^{\text{exact}} = \left( \cos \left( \frac{\pi(2y-1)(2x-1)}{8} \right) \right)^2 - \frac{1}{2},$$

$$q_2^{\text{exact}} = \cos \left( \frac{\pi(2y-1)(2x-1)}{8} \right) \sin \left( \frac{\pi(2y-1)(2x-1)}{8} \right),$$

$$u^{\text{exact}} = 10((x-1)x(y-1)y)^2$$

Manufactured equations to be solved:

$$4Bq^4 u^2 q_1 + 2Bq^2 u (\partial_x^2 u - \partial_y^2 u) - 2K\Delta q_1 - 4lq_1 + 16lq_1 (q_1^2 + q_2^2) = f_1,$$

$$4Bq^4 u^2 q_2 + 4Bq^2 u (\partial_x \partial_y u) - 2K\Delta q_2 - 4lq_2 + 16lq_2 (q_1^2 + q_2^2) = f_2,$$

$$au + bu^2 + cu^3 + 2B\Delta^2 u + Bq^4 (4(q_1^2 + q_2^2) + 1) u + 2Bq^2(t_1 + t_2) = f_3,$$

here,  $f_1, f_2, f_3$  are source terms derived from substituting the exact solutions to the left hand sides.

## Convergence tests via MMS: settings

- ▶  $(u, q_1, q_2) \xleftarrow[FEM]{} (\mathbb{Q}_3 \times \mathbb{Q}_2 \times \mathbb{Q}_2) + \text{interior penalty}$
- ▶ Mesh size  $h = \frac{1}{N}$  with  $N = 5, 10, 20, 40, 80$ .
- ▶ Define numerical errors in  $L^2$  and  $H^1$  norms as

$$\|e_u\|_0 = \|u^{\text{exact}} - u_h\|_0,$$

$$\|e_u\|_1 = \|u^{\text{exact}} - u_h\|_1,$$

$$\|e_Q\|_0 = \|(q_1^{\text{exact}}, q_2^{\text{exact}}) - (q_{1,h}, q_{2,h})\|_0,$$

$$\|e_Q\|_1 = \|(q_1^{\text{exact}}, q_2^{\text{exact}}) - (q_{1,h}, q_{2,h})\|_1.$$

- ▶ Order of convergence:

$$\log_2 \left( \frac{\text{error}_{i+1}}{\text{error}_i} \right), i = 1, 2, 3, 4.$$

- ▶ Choose parameters used in experiments later  
 $a = -10, b = 0, c = 10, B = 10^{-5}, K = 0.3, l = 30$ .

## Convergence tests: $\gamma = 1$

$$(u, Q) \xleftarrow{\text{FEM}} Q_3 \times (Q_2)^2$$

Decoupled case  $q = 0$ :

$N = \frac{1}{h}$	$\ e_u\ _0$	rate	$\ e_u\ _1$	rate	$\ e_Q\ _0$	rate	$\ e_Q\ _1$	rate
5	6.06e-05	–	1.71e-03	–	4.90e-05	–	1.62e-03	–
10	1.24e-06	5.61	7.82e-05	4.45	6.65e-06	2.88	3.92e-04	2.05
20	7.69e-08	4.01	9.73e-06	3.01	8.63e-07	2.95	9.69e-05	2.02
40	4.81e-09	4.00	1.22e-06	3.00	1.10e-07	2.98	2.41e-05	2.00
80	3.01e-10	4.00	1.52e-07	3.00	1.38e-08	2.99	6.03e-06	2.00

Coupled case with  $q = 40$ :

$N = \frac{1}{h}$	$\ e_u\ _0$	rate	$\ e_u\ _1$	rate	$\ e_Q\ _0$	rate	$\ e_Q\ _1$	rate
5	1.74e-05	-	5.74e-04	-	9.80e-05	-	3.24e-03	-
10	1.97e-06	3.15	9.42e-05	2.61	1.33e-05	2.88	7.83e-04	2.05
20	1.66e-07	3.57	1.11e-05	3.08	1.73e-06	2.95	1.94e-04	2.02
40	2.01e-08	3.04	1.43e-06	2.95	2.20e-07	2.97	4.83e-05	2.00
80	1.63e-09	3.62	1.64e-07	3.13	2.76e-08	2.99	1.21e-05	2.00

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## Oily streaks: problem settings

- ▶ Let  $\Omega = [-1, 1] \times [0, 1]$  with boundary labels
  - $\Gamma_l = \{(x, y) : x = -1\}, \quad \Gamma_r = \{(x, y) : x = 1\},$
  - $\Gamma_b = \{(x, y) : y = 0\}, \quad \Gamma_t = \{(x, y) : y = 1\}.$
- ▶ Discretize the domain  $\Omega$  into  $90 \times 30$  quads
- ▶  $(u, q_1, q_2) \xleftarrow{FEM} (\mathbb{Q}_3 \times \mathbb{Q}_2 \times \mathbb{Q}_2) + \text{interior penalty}$
- ▶ Choose parameters

$$a = -10, b = 0, c = 10, B = 10^{-5}, K = 0.3,$$
$$q = 30, l = 30, w = 10.$$

## Oily streaks: problem settings

- ▶ Weakly enforcing boundary conditions via

$$f_s(Q) = \int_{\Gamma_b} \frac{w}{2} |Q - Q_{planar}|^2 + \int_{\Gamma_t \cup \Gamma_l \cup \Gamma_r} \frac{w}{2} |Q - Q_{homeotropic}|^2.$$

- ▶  $w$  is the weak anchoring weight;
- ▶ prescribed configuration at the **bottom** surface:

$$Q_{planar} = \begin{bmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{bmatrix}$$

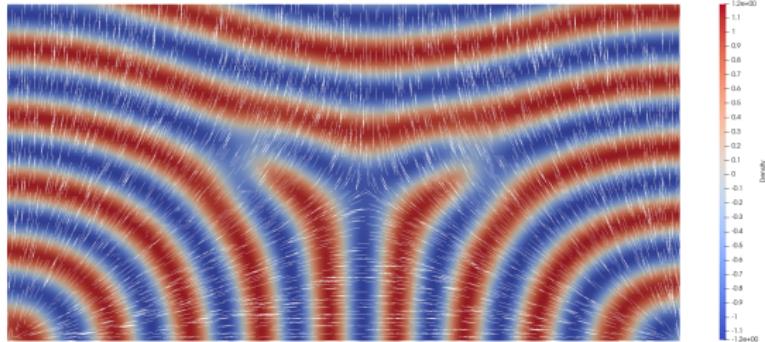
gives horizontally aligned directors;

- ▶ prescribed configuration at the **top/left/right** surfaces:

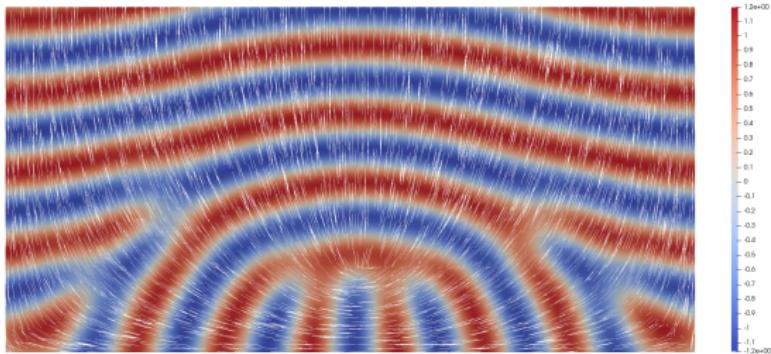
$$Q_{homeotropic} = \begin{bmatrix} -\frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{bmatrix}$$

gives vertical aligned directors.

# Oily streaks: two solution examples



(a) A  $-\frac{1}{2}$ -charge defect.



(b) A  $+\frac{1}{2}$ -charge defect.

## TFCDS: problem settings

- ▶ Let  $\Omega = [-1.5, 1.5] \times [-1.5, 1.5] \times [0, 2]$ .
- ▶ Discretize the domain  $\Omega$  into  $6 \times 6 \times 5$  hexahedra.
- ▶  $(u, Q) \xleftarrow{FEM} (\mathbb{Q}_3 \times (\mathbb{Q}_2)^5) + \text{interior penalty}$
- ▶ Choose parameters

$$a = -10, b = 0, c = 10, B = 10^{-3}, K = 0.03,$$
$$q = 10, l = 30, w = 10.$$

## TFCDs: problem settings

- ▶ Weakly enforcing top-bottom surfaces boundary conditions via

$$f_s(Q) = \int_{\Gamma_{bottom}} \frac{w}{2} |Q - Q_{radial}|^2 + \int_{\Gamma_{top}} \frac{w}{2} |Q - Q_{vertical}|^2,$$

- ▶  $w$  denotes the weak anchoring weight;
- ▶ prescribed configuration at the **bottom** surface:

$$Q_{radial} = \begin{bmatrix} \frac{x^2}{\sqrt{x^2+y^2}} - \frac{1}{3} & \frac{xy}{\sqrt{x^2+y^2}} & 0 \\ \frac{xy}{\sqrt{x^2+y^2}} & \frac{y^2}{\sqrt{x^2+y^2}} - \frac{1}{3} & 0 \\ 0 & 0 & -\frac{1}{3} \end{bmatrix}$$

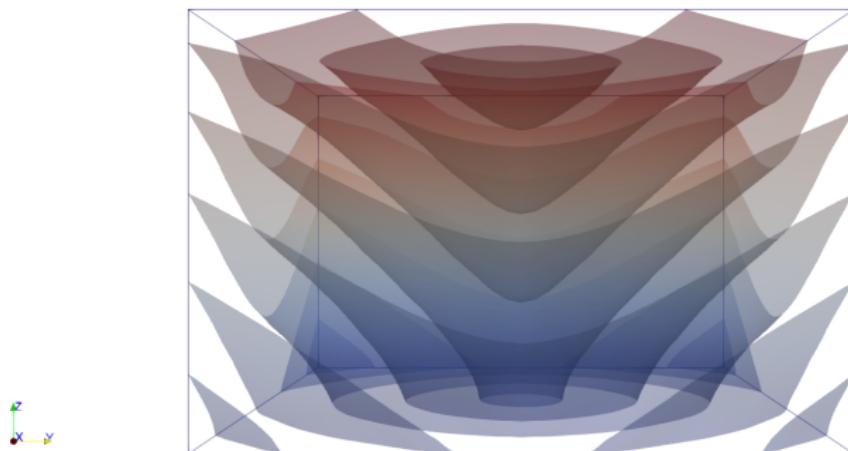
represents the in-plane ( $x$ - $y$  plane) radial configuration of the director;

- ▶ prescribed configuration at the **top** surface:

$$Q_{vertical} = \begin{bmatrix} -\frac{1}{3} & 0 & 0 \\ 0 & -\frac{1}{3} & 0 \\ 0 & 0 & -\frac{1}{3} \end{bmatrix}$$

gives the vertical (along the  $z$ -axis) alignment of directors.

## TFCD solution



Zero iso-surfaces of the density variation  $u$ , colored by height.

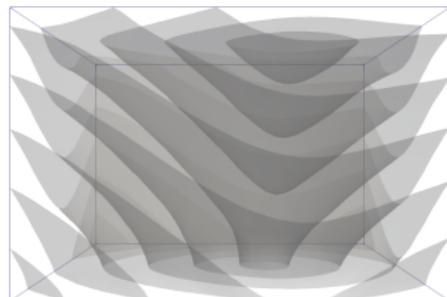
## FCD solutions

- ▶ Replace the **top** boundary configuration  $Q_{vertical}$  by a slightly tilted configuration  $Q_{tilt}$ :

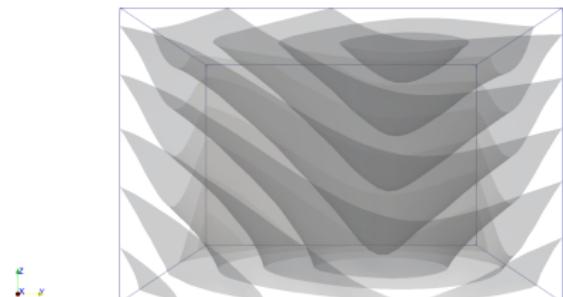
$$Q_{tilt} = \begin{bmatrix} -\frac{1}{3} & 0 & 0 \\ 0 & (\sin(\theta))^2 - \frac{1}{3} & \sin(\theta) \cos(\theta) \\ 0 & \sin(\theta) \cos(\theta) & (\cos(\theta))^2 - \frac{1}{3} \end{bmatrix}, \quad (3)$$

- ▶  $\theta$  (to be specified in experiments) is the zenith angle between the director and the z-axis.

Taking  $\theta = \frac{\pi}{8}$



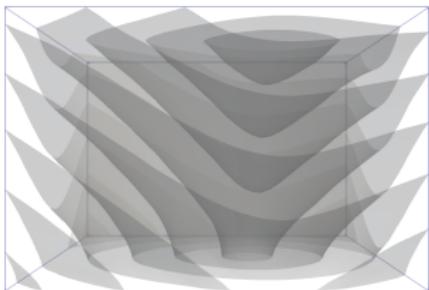
FCD



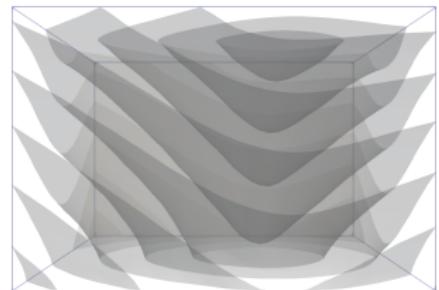
FCD

# FCDs

Taking  $\theta = \frac{\pi}{10}$

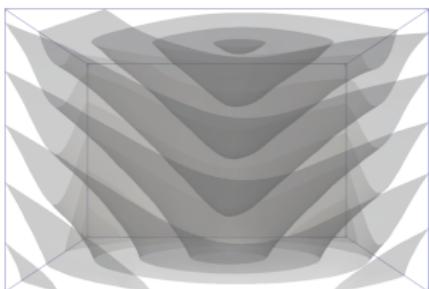


FCD

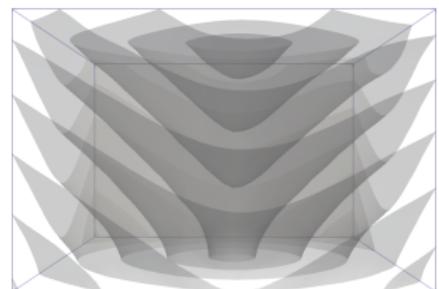


FCD

Taking  $\theta = \frac{\pi}{12}$



FCD



FCD

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## Conclusions

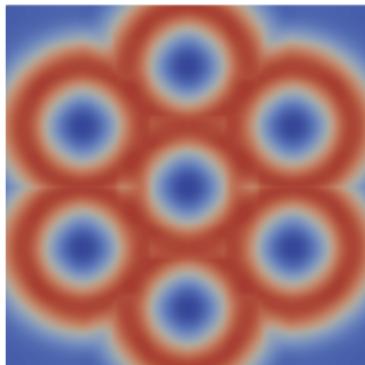
- ▶ A new continuum mathematical model of smectic A liquid crystals based on a *real-valued* smectic order parameter and a *tensor-valued* nematic order parameter is proposed.
- ▶ Two typical defects (OS and FCDs) in smectic A LC are captured numerically.

## Conclusions

- ▶ A new continuum mathematical model of smectic A liquid crystals based on a *real-valued* smectic order parameter and a *tensor-valued* nematic order parameter is proposed.
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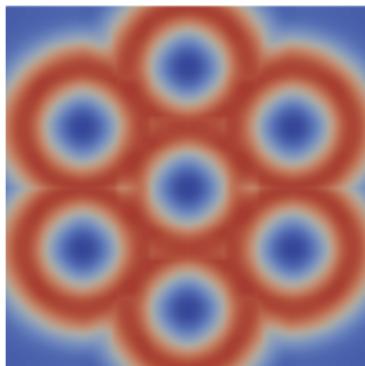


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Thank you for your attention!